

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR(S): MATEO DE ACOSTA DEL RIO, Cristina Maria, et al.

TITLE: Antibodies and Fv fragments recognizing antigen IOR-C2.

DOCKET NO: P-23

Assistant Commissioner of Patents
Washington, D.C. 20231

TRANSLATOR'S DECLARATION

Sir:

The undersigned, Josefa Lombardero, hereby declares: That I am a resident
of CUBA residing at Agustina # 70, Vibora, Ciudad Habana,

That I am conversant in the Spanish and English languages and qualified
to prepare an English translation from the corresponding Spanish language
document.

That I have translated the attached English document from Spanish and
that it is complete and adequate and it is a true and faithful translation of the
original Spanish text, and

I further declare that all statements made herein of my own knowledge are
true and that all statements made on information and believe are believed to be
true; and further that these statements were made with the knowledge that willful
false statements and the like so made are punishable by fine or imprisonment,
or both, under section 1001 of Title 18 of the United State Code, and that such
willful false statements may jeopardize the validity of the patent application.

Dated: 23 July, 2001



Translator's Signature

Serial or Patent No.:

09/889,480

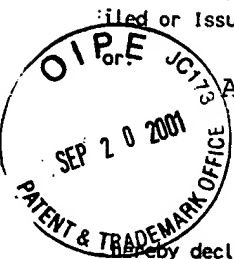
Filed or Issued:

July 16, 2001

P-23

SEP 20 2001

PCT
BOX 1 SEA



Antibodies and FV Fragment Recognizing Antigen IOR C2

DECLARATION CLAIMING SMALL ENTITY STATUS

[37 CFR 1.9(f) and 1.27(C)]

SMALL BUSINESS CONCERN

I hereby declare that I am

☐

the owner of the small business concern identified below:

☒

an official of the small business concern empowered to act on behalf of the concern identified below

NAME OF CONCERN: CENTRO DE INMUNOLOGIA MOLECULAR

ADDRESS OF CONCERN: Calle 216 y 15, Atabey, Playa, C. Habana 12 100, Cuba

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 21.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled: Antibodies and FV Fragment Recognizing Antigen IOR C2 described in

☐

the specification filed herewith

☒

application serial no. 09/889,480, filed July 16, 2001

☐

patent no. , issued

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Note: Separate verified statements are required from each named person, concern or organization having rights to the invention verifying to their status as small entities. (37 CFR 1.27)

FULL NAME: Agustin Lage Dávila	<input type="checkbox"/> INDIVIDUAL
ADDRESS: Calle 216 y 15, Atabey, Playa, C. Habana 12 100, Cuba	<input checked="" type="checkbox"/> SMALL BUSINESS CONCERN
	<input type="checkbox"/> NONPROFIT ORGANIZATION
FULL NAME:	<input type="checkbox"/> INDIVIDUAL
ADDRESS:	<input type="checkbox"/> SMALL BUSINESS CONCERN
	<input type="checkbox"/> NONPROFIT ORGANIZATION
FULL NAME:	<input type="checkbox"/> INDIVIDUAL
ADDRESS:	<input type="checkbox"/> SMALL BUSINESS CONCERN
	<input type="checkbox"/> NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified declaration is directed.

NAME OF PERSON SIGNING

TITLE

Agustin Lage Dávila

Director of CIM

SIGNATURE

DATE

August 1, 2001

RESIDENCE ADDRESS

Calle 216 y 15, Atabey, Playa, C. Habana 12 100, Cuba

**UNITED STATES -- PATENT
DECLARATION FOR PATENT APPLICATION**

Attorney's Docket No.: **P 23**

As a below-named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled the specification of which

(check one)

☐ is attached hereto.

☒ was filed on July 16, 2001 as

Application Serial No.: 09/889,480,

and was amended on _____

(if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s):

Appln. No.	Country	Date Filed	Priority Claimed
196/99	CUBA	16/11/1999	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
PCT/CU00/00004	PCT	16/11/2000	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56(a) which became available between the filing date of the prior application and the national or PCT international filing date of this application:

Appln. Serial No.	Filing Date	Status: Patented, Pending, Abandoned
		<input type="checkbox"/> Patented <input type="checkbox"/> Pending <input type="checkbox"/> Abandoned
		<input type="checkbox"/> Patented <input type="checkbox"/> Pending <input type="checkbox"/> Abandoned
		<input type="checkbox"/> Patented <input type="checkbox"/> Pending <input type="checkbox"/> Abandoned

POWER OF ATTORNEY

I hereby appoint the following attorney(s) and/or agent(s) to prosecute the application entitled
Antibodies and FV Fragment Recognizing Antigen IOR C2
and to transact all business in the Patent and Trademark Office connected therewith:


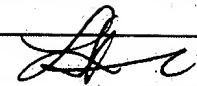
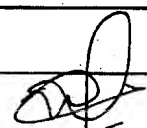
HENRY A. MARZULLO, JR., Reg. No. 20,910; MARVIN FELDMAN, Reg. No. 25,797

HOWARD N. ARONSON, Reg. No. 27,302; and

MYRON GREENSPAN, Reg. No. 25,680.

Address all telephone calls to *Myron Greenspan*, at telephone number (914) 723-4300, or to the attorney executing the last document. Address all correspondence to **LACKENBACH SIEGEL MARZULLO ARONSON & GREENSPAN, P.C.** at **Penthouse Suite, One Chase Road, Scarsdale, New York 10583 U.S.A.**

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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Date 23 July, 2001	Signature 
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Date 23 July, 2001	Signature 
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State or Country Cuba	State or Country
Date 23 July, 2001	Signature 

Additional inventors are being named on separately numbered sheets attached hereto.

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Date 23 July, 2001	Signature <i>[Signature]</i>
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Date 23 July, 2001	Signature <i>[Signature]</i>
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State or Country Cuba <i>CUX</i>	State or Country
Date 23 July, 2001	Signature <i>[Signature]</i>

Additional inventors are being named on separately numbered sheets attached hereto.

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ninth Full Name of Joint Inventor <u>RENGIFO CALZADO, Enrique</u>	Citizenship Cuban
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10W

tenth Full Name of Joint Inventor <u>IZNAGA ESCOBAR, Normando</u>	Citizenship Cuban
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11W

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Date <u>23 July, 2001</u>	Signature <i>[Signature]</i>

Full Name of Seventh Joint Inventor	Citizenship Cuban
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City (Zip)	City (Zip)
State or Country	State or Country
Date	Signature

Full Name of Eighth Joint Inventor	Citizenship
RESIDENCE Address – Street	POST OFFICE Address – Street
City (Zip)	City (Zip)
State or Country	State or Country
Date	Signature

Additional inventors are being named on separately numbered sheets attached hereto.